### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Polonsky *et al*.

Serial No.: 09/422,869

Filed: October 21, 1999

For: METHODS OF TREATMENT OF TYPE 2

**DIABETES** 

Group Art Unit: 1635

Examiner: Unknown

Atty. Dkt. No.: ARCD:307/WIM

## ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Louis T. Pirkey, Reg. No. 22,393; Richard J. Groos, Reg. No. 32,231; David L. Parker, Reg. No. 32,165; William G. Barber, Reg. No. 33,154; David D. Bahler, Reg. No. 30,932; Stephen D. Dellett, Reg. No. 32,564; Michael S. Metteauer, Reg. No. 34,875; Michael O. Scheinberg, Reg. No. 36,919; Mark B. Wilson, Reg. No. 37,259; J. Scott Denko, Reg. No. 37,606; Steven L. Highlander, Reg. No. 37,642; Erik R. Nordstrom, Reg. No. 39,792; Mark A. Thurmon, Reg. No. 39,858; Teresa J. Bowles, Reg. No. 40,526; Stephen P. Meleen, Reg. No. 40,724; Richard A. Nakashima, Reg. No. 42,023; Patrick Stellitano, Reg. No. 42,169; Robert E. Hanson, Reg. No. 42,628; Nicole Stafford, Reg. No. 43,929; Michael C. Barrett, Reg. No. 44,523; Mark T. Garrett, Reg. No. 44,699; Jonathan Hurt, Reg. No. 44,790; Gina N. Shishima, Reg. No. 45,104; Debra L. Dennett, Reg. No. 46,370; and Stephen M. Hash, Reg. No. 45,490;

each an attorney or agent of the firm of FULBRIGHT & JAWORSKI, L.L.P., as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business

in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to ARCH DEVELOPMENT CORPORATION, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Mark B. Wilson FULBRIGHT & JAWORSKI, L.L.P. 600 Congress Avenue, Suite 1900 Austin, Texas 78701 (512) 418-3000

ASSIGN ARCH I	TEE: DEVELOPMENT CORPORATION
Ву:	110
Name:	Alan Thomas
Title:	Assistant Secretary
Date:	May 16, 2000

ASSIGNM	ENT:
$\boxtimes$	Concurrently filed
	Previously recorded
	Date:
	Reel:
	Frames:

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHODS OF TREATMENT OF TYPE 2 DIABETES**, the Specification of which:

	is attached hereto.	
$\boxtimes$	was filed on October 21, 1999 as Application Serial No.	09/422,869.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

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	Priority Claimed		
60/105,052	USA	October 21, 1998	Yes
(Number)	(Country)	(Date Filed)	Yes/No
60/134,175	ÙSA	May 13, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby direct that all correspondence and telephone calls be addressed to Mark B. Wilson, Fulbright & Jaworski, L.L.P., 600 Congress Avenue, Suite 2400, Austin, Texas 78701, (512) 418-3000.

Inventor's Full Name:	Kenneth /	S.		Polonsky
Inventor's Signature:	Kolon	l		
Country of Citizenship:	USA	1	Date: 3	18/00
Residence Address: (street, number, city, state, and/or country)	5716 S. Blackstone Chicago, IL 60637			
Post Office Address: (if different from above)				
Inventor's Full Name:	Yukio			Horikawa
Inventor's Signature:	Yhio	Hous	hawa	3/15/00
Country of Citizenship:	Japan		Date:	
Residence Address: (street, number, city, state, and/or country)	5-11-21-301 Nishi-Okamoto Higashinada-ku, Kobe City Hyogo 658-0073 JAPAN			
Post Office Address: (if different from above)				
	*			
Inventor's Full Name:	Naohisa			Oda
Inventor's Signature:				
Country of Citizenship:	Japan		Date:	
Residence Address: (street, number, city, state, and/or country)	128 #201 Yokobuki-sho, Midori-ku Nagoya-shi, Aichi-ken 458-0816 JAPAN			
Post Office Address: (if different from above)				

Post Office Address: (if different from above)

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Inventor's Full Name:	Kenichi /			Otani
Inventor's Signature:	Kimih:	Octo	ani	
Country of Citizenship:	Japan		Date: 3/9/2	2000
Residence Address: (street, number, city, state, and/or country)	5050 S. Lake Shore Dr. Chicago, IL 60637 6			
Post Office Address: (if different from above)				
	T	1		1
Inventor's Full Name:	Craig	L.		Hanis
Inventor's Signature:				
Country of Citizenship:	USA		Date:	
Residence Address: (street, number, city, state, and/or country)	4745 Spellman Houston, Texas 77035	; 		
Post Office Address: (if different from above)				
ſ.				
Inventor's Full Name:	Graceme	h <sup>I.</sup>		Bell
Inventor's Signature:	Tram &	Xu-		
Country of Citizenship:	Canada/USA		Date: 3/15	100
Residence Address: (street, number, city, state, and/or country)	5719 South Dorchester Chicago, IL 60637	Avenue		

Inventor's Full Name:	Nancy	J.		Cox
Inventor's Signature:	Marcy J. Co	<del>/</del>	3/15/00	
Country of Citizenship:	USA / //		Date:	
Residence Address: (street, number, city, state, and/or country)	1595 Dunbar Inverness, IL 60067			
Post Office Address: (if different from above)		<u> </u>		
	<u> </u>			
Inventor's Full Name:	Seamus			Sreenan
Inventor's Signature:				
Country of Citizenship:	Ireland		Date:	
Residence Address: (street, number, city, state, and/or country)	: 139 Castle Avenue, Clontarf y, Dublin 3, Ireland			
Post Office Address: (if different from above)				
Inventor's Full Name:	Yun-Ping			Zhou
Inventor's Signature:				
Country of Citizenship:	People's Republic of C	hina	Date:	
Residence Address: (street, number, city, state, and/or country)	3819 Vineyard Avenue, Apt. 36 Pleasanton, CA 94566			
Post Office Address: (if different from above)				

As a below named inventor, I hereby declare that:

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I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHODS OF TREATMENT OF TYPE 2 DIABETES, the Specification of which:

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(Number)	(Country)	(Date Filed)	Yes/No
60/134,175	USA	May 13, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby direct that all correspondence and telephone calls be addressed to Mark B. Wilson, Fulbright & Jaworski, L.L.P., 600 Congress Avenue, Suite 2400, Austin, Texas 78701, (512) 418-3000.

Inventor's Full Name:	Kenneth	S.		Polonsky
Inventor's Signature:				
Country of Citizenship:	USA		Date:	
Residence Address: (street, number, city, state, and/or country)	5716 S. Blackstone Chicago, IL 60637			
Post Office Address: (if different from above)				

Inventor's Full Name:	Yukio		Horikawa
Inventor's Signature:			
Country of Citizenship:	Japan	Date:	
Residence Address: (street, number, city, state, and/or country)	5-11-21-301 Nishi-Okamoto Higashinada-ku, Kobe City Hyogo 658-0073 JAPAN		
Post Office Address: (if different from above)			

Inventor's Full Name:	Naohisa	Oda
Inventor's Signature:	naohisa	Oda
Country of Citizenship:	Japan	Date: 2000/3/14
Residence Address: (street, number, city, state, and/or country)	128 #201 Yokobuki-sho, Midori- Nagoya-shi, Aichi-ken JAPAN	
Post Office Address: (if different from above)		

Inventor's Full Name:	Nancy	J.		Cox
Inventor's Signature:				
Country of Citizenship:	USA		Date:	
Residence Address: (street, number, city, state, and/or country)	1595 Dunbar Inverness, IL 60067			
Post Office Address: (if different from above)				
Inventor's Full Name:	Seamus			Sreenan
Inventor's Signature:				
Country of Citizenship:	Ireland		Date:	
Residence Address: (street, number, city, state, and/or country)	139 Castle Avenue, Clontarf Dublin 3, Ireland			
Post Office Address: (if different from above)				
4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4				
Inventor's Full Name:	Yun-Ping			Zhou
Inventor's Signature:				
Country of Citizenship:	People's Republic of C		Date:	
Residence Address: (street, number, city, state, and/or country)	3819 Vineyard Avenue, Apt. 36 Pleasanton, CA 94566			
Post Office Address: (if different from above)				

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Inventor's Full Name:	Kenichi			Otani	
Inventor's Signature:				-	
Country of Citizenship:	Japan Date:				
Residence Address: (street, number, city, state, and/or country)	5050 S. Lake Shore Drive, Apt. 3003 Chicago, IL 60637				
Post Office Address: (if different from above)					
		_			
Inventor's Full Name:	Craig	L.		Hanis	
Inventor's Signature:					
Country of Citizenship:	USA		Date:		
Residence Address: (street, number, city, state, and/or country)	4745 Spellman Houston, Texas 77035				
Post Office Address: (if different from above)					
Inventor's Full Name:	Graeme	I.		Bell	
Inventor's Signature:					
Country of Citizenship:	Canada/USA		Date:		
Residence Address: (street, number, city, state, and/or country)	5719 South Dorchester Chicago, IL 60637	Avenue			
Post Office Address: (if different from above)			-		

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<u> </u>				
Inventor's Full Name:	Kenneth	S.		Polonsky
Inventor's Signature:				
Country of Citizenship:	USA		Date:	
Residence Address: (street, number, city, state, and/or country)	5716 S. Blackstone Chicago, IL 60637			
Post Office Address: (if different from above)				
	T	<del></del>		
Inventor's Full Name:	Yukio			Horikawa
Inventor's Signature:			<b>Y</b>	
Country of Citizenship:	Japan		Date:	
Residence Address: (street, number, city, state, and/or country)	5-11-21-301 Nishi-Okamoto Higashinada-ku, Kobe City Hyogo 658-0073 JAPAN			
Post Office Address: (if different from above)				
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Inventor's Full Name:	Naohisa			Oda
Inventor's Signature:			····	
Country of Citizenship:	Japan		Date:	
Residence Address: (street, number, city, state, and/or country)	128 #201 Yokobuki-sho, Midori- Nagoya-shi, Aichi-ken JAPAN			
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Inventor's Signature:				
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Post Office Address: (if different from above)				
·				
Inventor's Full Name:	Seamus	Keu	n	Sreenan

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Inventor's Signature:	Seed			
Country of Citizenship:	Ireland		Date: 3	13100
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Post Office Address: (if different from above)				

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Inventor's Signature:				-
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Residence Address: (street, number, city, state, and/or country)	5050 S. Lake Shore Drive, Apt. 3003 Chicago, IL 60637			
Post Office Address: (if different from above)				
Inventor's Full Name:	Craig	L.		Hanis

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Inventor's Signature:				
Country of Citizenship:	USA		Date:	
Residence Address: (street, number, city, state, and/or country)	4745 Spellman Houston, Texas 77035	5		
Post Office Address: (if different from above)				

Inventor's Full Name:	Graeme	I.		Bell
Inventor's Signature:			•	
Country of Citizenship:	Canada/USA		Date:	
Residence Address: (street, number, city, state, and/or country)	5719 South Dorchester Chicago, IL 60637	Avenue		
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Inventor's Signature:				
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Inventor's Full Name:	Yukio			Horikawa
Inventor's Signature:				
Country of Citizenship:	Japan		Date:	
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Post Office Address: (if different from above)				
Inventor's Full Name:	Naohisa			Oda
Inventor's Signature:				
Country of Citizenship:	Japan		Date:	
Residence Address: (street, number, city, state, and/or country)	128 #201 Yokobuki-sho, Midori- Nagoya-shi, Aichi-ken JAPAN			
Post Office Address: (if different from				

Inventor's Full Name:	Nancy	J.		Cox
Inventor's Signature:				
Country of Citizenship:	USA		Date:	
Residence Address: (street, number, city, state, and/or country)	1595 Dunbar Inverness, IL 60067			
Post Office Address: (if different from above)				
	T	1		
Inventor's Full Name:	Seamus			Sreenan
Inventor's Signature:				
Country of Citizenship:	Ireland		Date:	
Residence Address: (street, number, city, state, and/or country)	139 Castle Avenue, Clo	ontarf		
Post Office Address: (if different from above)				
Inventor's Full Name:	Yun-Ping			Zhou
Inventor's Signature:	yo Oh		3/8/8	, נרכ
Country of Citizenship:	People's Republic of C	China	Date:	
Residence Address: (street, number, city, state, and/or country)	3819 Vineyard Avenue Pleasanton, CA 94566			
Post Office Address: (if different from above)		22.00		

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Inventor's Full Name:	Kenichi			Otani –
Inventor's Signature:				
Country of Citizenship:	Japan		Date:	
Residence Address: (street, number, city, state, and/or country)	5050 S. Lake Shore Dr. Chicago, IL 60637	ive, Apt. 3	003	
Post Office Address: (if different from above)				
T				

Inventor's Full Name:	Craig	L.		Hanis
Inventor's Signature:			.,	
Country of Citizenship:	USA		Date:	
Residence Address: (street, number, city, state, and/or country)	4745 Spellman Houston, Texas 77035	5		
Post Office Address: (if different from above)				

Inventor's Full Name:	Graeme	I.		Bell
Inventor's Signature:				
Country of Citizenship:	Canada/USA		Date:	
Residence Address: (street, number, city, state, and/or country)	5719 South Dorchester Chicago, IL 60637	Avenue		
Post Office Address: (if different from above)				

PATENT ARCD:307

#### DECLARATION

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Kenneth

Inventor's Full Name:

Polonsky

IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUED THEREON.

S.

Inventor's Signature:					
Country of Citizenship:	USA		Date:		
Residence Address: (street, number, city, state, and/or country)	5716 S. Blackstone Chicago, IL 60637				
Post Office Address: (if different from above)					
	T	r		1	
Inventor's Full Name:	Yukio	<u></u>		Horikawa	
Inventor's Signature:			γ		
Country of Citizenship:	Japan	Japan Date:			
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Post Office Address: (if different from above)	JAN ANY				
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Inventor's Signature:					
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Residence Address: (street, number, city, state, and/or country)	1595 Dunbar Inverness, IL 60067				
Post Office Address: (if different from above)				-	

Inventor's Full Name:	Seamus	Sreenan
Inventor's Signature:		
Country of Citizenship:	Ireland	Date:
Residence Address: (street, number, city, state, and/or country)	139 Castle Avenue, Clontarf Dublin 3, Ireland	
Post Office Address: (if different from above)		

Inventor's Full Name:	Yun-Ping .		Zhou	
Inventor's Signature:				
Country of Citizenship:	People's Republic of China	Date:		
Residence Address: (street, number, city, state, and/or country)	3819 Vineyard Avenue, Apt. 36 Pleasanton, CA 94566			
Post Office Address: (if different from above)				

Inventor's Full Name:	Kenichi		Otani	
Inventor's Signature:				
Country of Citizenship:	Japan	Date:		
Residence Address: (street, number, city, state, and/or country)	5050 S. Lake Shore Chicago, IL 60637	•		
Post Office Address: (if different from above)				

,				
Inventor's Full Name:	Craig	L.	Hanis	
Inventor's Signature:	an 7. 8	Jani		
Country of Citizenship:	USA	Date:	3/8/00	
Residence Address: (street, number, city, state, and/or country)	4745 Spellman Houston, Texas 77035			
Post Office Address: (if different from above)				

Inventor's Full Name:	Graeme	I.		Bell	
Inventor's Signature:					
Country of Citizenship:	Canada/USA		Date:		
Residence Address: (street, number, city, state, and/or country)	5719 South Dorch Chicago, IL 6063				
Post Office Address: (if different from above)		_			

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Polonsky *et al*.

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For: METHODS OF TREATMENT OF TYPE 2

**DIABETES** 

Group Art Unit: 1635

Examiner: Unknown

Atty. Dkt. No.: ARCD:307/WIM



## ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned, being an Assignee of record of an interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Louis T. Pirkey, Reg. No. 22,393; Richard J. Groos, Reg. No. 32,231; David L. Parker, Reg. No. 32,165; William G. Barber, Reg. No. 33,154; David D. Bahler, Reg. No. 30,932; Michael S. Metteauer, Reg. No. 34,875; Mark B. Wilson, Reg. No. 37,259; Steven L. Highlander, Reg. No. 37,642; Mark A. Thurmon, Reg. No. 39,858; Teresa J. Bowles, Reg. No. 40,526; Stephen P. Meleen, Reg. No. 40,724; Nicole Stafford, Reg. No. 43,929; Richard A. Nakashima, Reg. No. 42,023; Robert Hanson, Reg. No. 42,628; Gina N. Shishima, Reg. No. 45,104; Michael C. Barrett, Reg. No. 44,523; Mark T. Garrett, Reg. No. 44,699; Jonathan D. Hurt, Reg. No. 44,790; Stephen M. Hash, 45,490; Debra L. Dennett, 46,370; Michael O. Scheinberg, Reg. No. 36,919, Erik R. Nordstrom, Reg. No. 39,792; Patrick Stellitano, Reg. No. 42,169

each an attorney or agent of the firm of FULBRIGHT & JAWORSKI, L.L.P., as its\_attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to Board of Regents, The University of Texas System, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Mark B. Wilson FULBRIGHT & JAWORSKI, L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 512/418-3000

ASSIGNEE:
BOARD OF REGENTS, THE UNIVERSITY
OF TEXAS SYSTEM

Name Ray Farabee

Title: Vice Chancellor & General Counsel

Date: April 28, 2000

ASSIGNM	ENT:	
$\boxtimes$	Concurrent	ly filed
Ħ	Previously	recorded
	Date:	
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	Frames:	